AMENDMENTS TO THE CLAIMS

- 1. (CANCELED)
- 2. (CURRENTLY AMENDED) A compound of claim-1, formula (Ia):

$$\mathbb{R}^{1}$$
 \mathbb{N}^{1}
 \mathbb{N}^{6}
 \mathbb{N}^{6}
 \mathbb{N}^{6}
 \mathbb{N}^{6}
 \mathbb{N}^{6}

or a pharmaceutically acceptable salt, prodrug, tautomer, hydrate or solvate thereof, wherein R¹ is

each R^3 is independently selected from the group consisting of: hydrogen, halo, halo(C_1 - C_6)alkyl, (C_1 - C_6)alkyl, (C_2 - C_6)alkenyl, (C_2 - C_6)alkynyl,

perhalo(C₁-C₆)alkyl, phenyl, (C₅-C₁₀)heteroaryl, (C₅-C₁₀)heterocyclic,

 $\underline{(C_3-C_{10})} cycloalkyl, \ hydroxy, \ (C_1-C_6)alkoxy, \ perhalo(C_1-C_6)alkoxy, \ phenoxy,$

 $\underline{(C_5-C_{10})} heteroaryl-O-, \underline{(C_5-C_{10})} heterocyclic-O-, \underline{(C_3-C_{10})} cycloalkyl-O-,$

 (C_1-C_6) alkyl-S-, (C_1-C_6) alkyl-SO₂-, (C_1-C_6) alkyl-NH-SO₂-, O_2 N-, NC-, amino, Ph $(CH_2)_1$ -

6HN-, (C₁-C₆)alkyl HN-, (C₁-C₆)alkylamino, [(C₁-C₆)alkyl]₂-amino,

 (C_1-C_6) alkyl- SO_2 -NH-, amino(C=O)-, amino O_2S -, (C_1-C_6) alkyl-(C=O)-NH-,

 (C_1-C_6) alkyl-(C=O)- $[(((C_1-C_6)$ alkyl)-N]-, phenyl-(C=O)-NH-,

 $\frac{\text{phenyl-}(C=O)-[((C_1-C_6)alkyl)-N]-, (C_1-C_6)alkyl-(C=O)-, \text{phenyl-}(C=O)-,}{\text{phenyl-}(C=O)-,}$ (C_5-C_{10}) heteroaryl-(C=O)-, (C_5-C_{10}) heterocyclic-(C=O)-, (C_3-C_{10}) cycloalkyl-(C=O)-, HO-(C=O)-, $(C_1-C_6)alkyl-O-(C=O)-$, $H_2N(C=O)-$, $(C_1-C_6)alkyl-NH-(C=O)-$, $[(C_1-C_6)alkyl]_2-N-(C=O)-$, phenyl-NH-(C=O)-, phenyl- $[((C_1-C_6)alkyl)-N]-(C=O)-$, (C₅- C_{10})heteroaryl-NH-(C=O)-, (C₅-C₁₀)heterocyclic-NH-(C=O)-, (C_3-C_{10}) cycloalkyl-NH-(C=O)- and (C_1-C_6) alkyl-(C=O)-O-;

where alkyl, alkenyl, alkynyl, phenyl, heteroaryl, heterocyclic, cycloalkyl, alkoxy, phenoxy, amino of R³ is optionally substituted by at least one substituent independently selected from (C₁-C₆)alkyl, (C₁-C₆)alkoxy, halo(C₁-C₆)alkyl, halo, H₂N-, Ph(CH₂)₁₋₆HN-, and (C_1-C_6) alkylHN-;

s is an integer from one to five;

R⁴ is selected from the group consisting of: hydrogen, halo, halo(C₁-C₆)alkyl, (C₁- C_6)alkyl, (C_2 - C_6)alkenyl, (C_2 - C_6)alkynyl,

perhalo(C₁-C₆)alkyl, phenyl, (C₅-C₁₀)heteroaryl, (C₅-C₁₀)heterocyclic,

(C₃-C₁₀)cycloalkyl, hydroxy, (C₁-C₆)alkoxy, perhalo(C₁-C₆)alkoxy, phenoxy,

 (C_5-C_{10}) heteroaryl-O-, (C_5-C_{10}) heterocyclic-O-, (C_3-C_{10}) cycloalkyl-O-,

 (C_1-C_6) alkyl-S-, (C_1-C_6) alkyl-SO₂-, (C_1-C_6) alkyl-NH-SO₂-, O_2 N-, NC-, amino,

 $Ph(CH_2)_{1-6}NH$ -, alkylNH-, $(C_1-C_6)alkylamino$, $[(C_1-C_6)alkyl]_2$ -amino,

 (C_1-C_6) alkyl- SO_2 -NH-, amino(C=O)-, amino SO_2 -, (C_1-C_6) alkyl-(C=O)-NH-,

 (C_1-C_6) alkyl-(C=O)- $((C_1-C_6)$ alkyl)-N]-, phenyl-(C=O)-NH-,

phenyl-(C=O)- $((C_1-C_6)alkyl)$ -N]-, $(C_1-C_6)alkyl$ -(C=O)-, phenyl-(C=O)-,

 (C_5-C_{10}) heteroaryl-(C=O)-, (C_5-C_{10}) heterocyclic-(C=O)-, cycloalkyl-(C=O)-,

HO-(C=O)-, $(C_1-C_6)alkyl-O-(C=O)-$, $H_2N(C=O)-$, $(C_1-C_6)alkyl-NH-(C=O)-$,

 $((C_1-C_6)alkyl)_2-N-(C=O)-$, phenyl-NH-(C=O)-, phenyl- $((C_1-C_6)alkyl)-N]-(C=O)-$,

 (C_5-C_{10}) heteroaryl-NH-(C=O)-, (C_5-C_{10}) heterocyclic-NH-(C=O)-,

 (C_3-C_{10}) cycloalkyl-NH-(C=O)- and (C_1-C_6) alkyl-(C=O)-O-,

where alkyl, alkenyl, alkynyl, phenyl, heteroaryl, heterocyclic, cycloalkyl, alkoxy, phenoxy, and amino of R^4 is optionally substituted by at least one substituent independently selected from the group consisting of (C_1-C_6) alkyl, (C_1-C_6) alkoxy, halo (C_1-C_6) alkyl, halo, H_2N_- , $Ph(CH_2)_{1-6}$ - NH_- , and (C_1-C_6) alkyl NH_- ; and

 R^6 is selected from the group consisting of hydrogen, (C_1-C_6) alkyl, (C_2-C_6) alkenyl, (C_2-C_6) alkynyl, phenyl, (C_5-C_{10}) heteroaryl, (C_5-C_{10}) heterocyclic, (C_3-C_{10}) cycloalkyl, (C_1-C_6) alkyl- (SO_2) -, phenyl- (SO_2) -, H_2N - (SO_2) -, (C_1-C_6) alkyl-NH- (SO_2) -, $((C_1-C_6)$ alkyl)₂N- (SO_2) -, phenyl-NH- (SO_2) -, $(phenyl)_2N-(SO_2)-, (C_1-C_6)alkyl-(C=O)-, phenyl-(C=O)-, (C_5-C_{10})heteroaryl-(C=O)-, (C_5-C_{10})heteroaryl-(C_5-C_{10})heteroaryl-(C_5-C_{10})heteroaryl-(C_5-C_{10})heteroaryl-(C_5-C_{10})heteroaryl-(C_5-C_{10})heteroaryl-(C_5-C_{10})heteroaryl-(C_5-C_{10})heteroaryl-(C_5-C_{10})heteroaryl-(C_5-C_{10})heteroaryl-(C_5-C_{10})heteroaryl-(C_5-C_5-C_{10})heteroaryl-(C_5-C_5-C_{10})heteroaryl-(C_5-C_5-C_5)heteroaryl-(C_5-C_5-C_$ C_{10})heterocyclic-(C=O)-, (C_3 - C_{10})cycloalkyl-(C=O)-, (C_1 - C_6)alkyl-O-(C=O)-, (C_5-C_{10}) heterocyclic-O-(C=O)-, (C_3-C_{10}) cycloalkyl-O-(C=O)-, H_2N -(C=O)-, (C_1-C_6) alkyl-NH-(C=O)-, phenyl-NH-(C=O)-, (C_5-C_{10}) heteroaryl-NH-(C=O)-, (C₅-C₁₀)heterocyclic-NH-(C=O)-, (C₃-C₁₀)cycloalkyl-NH-(C=O)-, $((C_1-C_6)alkyl)_2N-(C=O)-$, (phenyl)₂N-(C=O)-, phenyl- $[((C_1-C_6)alkyl)-N]-(C=O)-$, (C_5-C_{10}) heteroaryl- $[((C_1-C_6)alkyl)-N]-(C=O)-, (C_5-C_{10})$ heterocyclic- $[((C_1-C_6)alkyl)-N]-$ (C=O)-, and (C_3-C_{10}) cycloalkyl- $[((C_1-C_6)alkyl)-N]$ -(C=O)-; where alkyl, alkenyl, alkynyl, phenyl, benzyl, heteroaryl, heterocyclic, cycloalkyl, alkoxy, phenoxy, amino of R⁶ is optionally substituted with at least one moiety independently selected from the group consisting of halo, (C₁-C₆)alkyl, (C₂-C₆)alkenyl, (C₂-C₆)alkynyl, perhalo(C₁-C₆)alkyl, (C₃-C₁₀)cycloalkyl, phenyl, benzyl, (C₅-C₁₀)heterocyclic, (C₅-C₁₀)heteroaryl, (C₁-C₆)alkyl- SO_2 -, formyl, NC-, (C_1-C_6) alkyl-(C=O)-, (C_3C_{10}) cycloalkyl-(C=O)-, phenyl-(C=O)-, (C_5-C_{10}) heterocyclic-(C=O)-, (C_5-C_{10}) heteroaryl-(C=O)-, (C=O)-, (C_1-C_6) alkyl-O-(C=O)-, (C_3-C_{10}) cycloalkyl-O-(C=O)-, (C_5-C_{10}) heterocyclic-O-(C=O)-, (C_1-C_6) alkyl-NH-(C=O)-, (C_3-C_{10}) cycloalkyl-NH-(C=O)-, phenyl-NH-(C=O)-, (C_5-C_{10}) heterocyclic-NH-(C=O)-, (C_5-C_{10}) heteroaryl-NH-(C=O)-, $((C_1-C_6)$ alkyl)₂-N-(C=O)-, phenyl- $[((C_1-C_6)alkyl)-N]-(C=O)$ -, hydroxy, $(C_1-C_6)alkoxy$, perhalo $(C_1-C_6)alkyl)$ -C₆)alkoxy, (C₃-C₁₀)cycloalkyl-O-, phenoxy, (C₅-C₁₀)heterocyclic-O-, (C₅-C₁₀)heteroaryl-

O-, $(C_1-C_6)alkyl-(C=O)-O-$, $(C_3-C_{10})cycloalkyl-(C=O)-O-$, phenyl-(C=O)-O-, $(C_5-C_{10})cycloalkyl-(C=O)-O-$

 $\begin{array}{l} \underline{C_{10}} \text{ heterocyclic-}(C=O)-O-, \ (C_5-C_{10}) \text{ heteroaryl-}(C=O)-O-, \ O_2N-, \text{ amino, } \ (C_1-C_6) \text{ alkyl})_2-\text{ amino, formamidyl, } \ (C_1-C_6) \text{ alkyl-}(C=O)-\text{NH-, } \ (C_3-C_{10}) \text{ cycloalkyl-}(C=O)-\text{NH-, phenyl-}(C=O)-\text{NH-, } \ (C_5-C_{10}) \text{ heterocyclic-}(C=O)-\text{NH-, } \ (C_5-C_{10}) \text{ heteroaryl-}(C=O)-\text{NH-, } \ (C_1-C_6) \text{ alkyl-}(C=O)-[((C_1-C_6) \text{ alkyl-}N]-, \text{ phenyl-}(C=O)-[((C_1-C_6) \text{ alkyl-}N]-, \text{ phenyl-}(C=O)-[((C_1-C_6) \text{ alkyl-}N]-, \text{ phenyl-}SO_2NH-, } \ (C_5-C_{10}) \text{ heterocyclic-}SO_2NH- \text{ and } \ (C_5-C_{10}) \text{ heteroaryl-}SO_2NH-; \text{ wherein the phenyl or heteroaryl moiety of a R^6 substituent is optionally further substituted with at least one radical independently selected from the group consisting of halo, } \ (C_1-C_6) \text{ alkyl, } \ (C_1-C_$

- 3. (CANCELED)
- 4. (CANCELED)
- 5. (CANCELED)
- 6. (CANCELED)
- 7. (CANCELED)
- 8. (CANCELED)
- 9. (CURRENTLY AMENDED) A compound of claim 1, formula (Ia):

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or a pharmaceutically acceptable salt, prodrug, tautomer, hydrate or solvate thereof, wherein R¹ is a saturated, unsaturated, or aromatic C₃-C₂₀ mono-, bi- or polycyclic ring optionally containing at least one heteroatom selected from the group consisting of N, O and S, wherein R¹ can optionally be further independently substituted with at least one moiety independently selected from the group consisting of: carbonyl, halo, halo(C₁- C_6)alkyl, perhalo(C_1 - C_6)alkyl, perhalo(C_1 - C_6)alkoxy, (C_1 - C_6)alkyl, (C_2 - C_6)alkenyl, (C_2 -C₆)alkynyl, hydroxy, oxo, mercapto, (C₁-C₆)alkylthio, (C₁-C₆)alkoxy, (C₅-C₁₀)aryl or (C₅- C_{10})heteroaryl, (C_5-C_{10}) aryloxy or (C_5-C_{10}) heteroaryloxy, (C_5-C_{10}) ar (C_1-C_6) alkyl or (C_5-C_{10}) C_{10})heteroar(C_1 - C_6)alkyl, (C_5 - C_{10})ar(C_1 - C_6)alkoxy or (C_5 - C_{10})heteroar(C_1 - C_6)alkoxy, HO-(C=O)-, ester, amido, ether, amino, amino(C_1 - C_6)alkyl, (C_1 - C_6)alkylamino(C_1 - C_6)alkyl, $\underline{\text{di}(C_1-C_6)}$ alkylamino $\underline{(C_1-C_6)}$ alkyl, $\underline{(C_5-C_{10})}$ heterocyclyl $\underline{(C_1-C_6)}$ alkyl, $\underline{(C_1-C_6)}$ alkyl- and di(C₁-C₆)alkylamino, cyano, nitro, carbamoyl, (C₁-C₆)alkylcarbonyl, (C₁-C₆)alkoxycarbonyl, (C₁-C₆)alkylaminocarbonyl, di(C₁-C₆)alkylaminocarbonyl, (C₅- C_{10})arylcarbonyl, (C_5-C_{10}) aryloxycarbonyl, (C_1-C_6) alkylsulfonyl, and (C_5-C_{10}) arylsulfonyl; s is one to two; R³ is hydrogen or (C₁-C₆)alkyl; R⁴ is hydrogen, (C₁-C₆)alkyl, (C₃-C₁₀)cycloalkyl, amino, (C₁-C₆)alkylamino, (C₁-C₆)alkyl-(C=O)-, or (C₃-C₁₀)cycloalkyl-(C=O)-; and R^6 is H or (C₁-C₆)alkyl.

10. (CURRENTLY AMENDED) A pharmaceutical composition comprising a compound of claim + 2 and a pharmaceutically acceptable carrier.

- 11. (CURRENTLY AMENDED) A method of preventing or treating a TGF-related disease state in an animal or human comprising the step of administering a therapeutically effective amount of a compound of claim 4 2 to the animal or human suffering from the TGF-related disease state.
- 12. (CURRENTLY AMENDED) A <u>The</u> method of claim 11, wherein said TGF-related disease state is selected from the group consisting of cancer, glomerulonephritis, diabetic nephropathy, hepatic fibrosis, pulmonary fibrosis, intimal hyperplasia and restenosis, scleroderma, and dermal scarring.
- 13. (NEW) A pharmaceutical composition comprising a compound of claim 9 and a pharmaceutically acceptable carrier.
- 14. (NEW) A method of preventing or treating a TGF-related disease state in an animal or human comprising the step of administering a therapeutically effective amount of a compound of claim 9 to the animal or human suffering from the TGF-related disease state.
- 15. (NEW) The method of claim 14, wherein said TGF-related disease state is selected from the group consisting of cancer, glomerulonephritis, diabetic nephropathy, hepatic fibrosis, pulmonary fibrosis, intimal hyperplasia and restenosis, scleroderma, and dermal scarring.